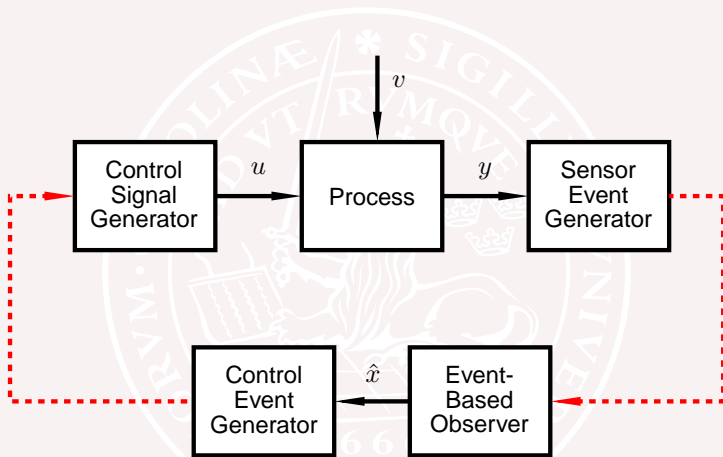


PID as a Benchmark for Event-Based Control?

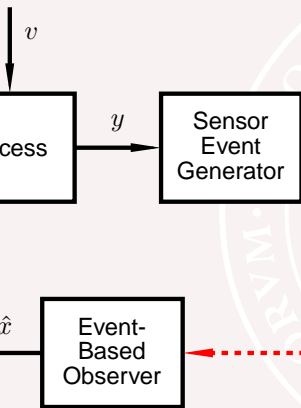
Anton Cervin

Department of Automatic Control
Lund University

Event-Based Control



Event-Based Control

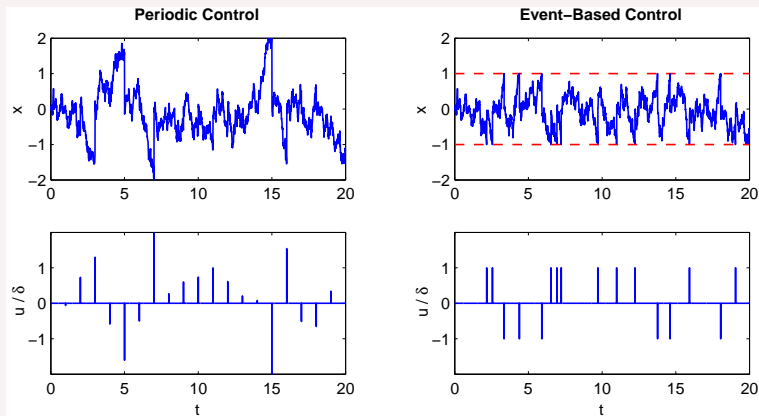


Sensor event generators:

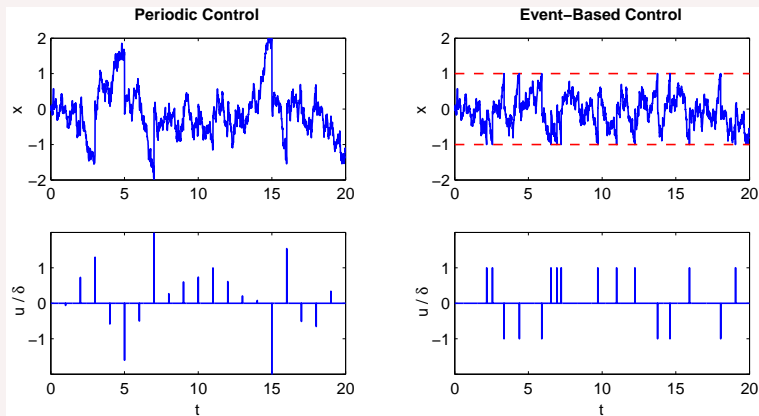
- Periodic sampler, interval h
- Send-on-Delta:
sample if $|y - y_{\text{last}}| > \Delta$
- Stochastic Send-on-Delta:
sample with probability

$$p = 1 - e^{-\frac{(y - y_{\text{last}})^2}{2\sigma_s^2}}$$

Example: Impulse Control of a Wiener Process



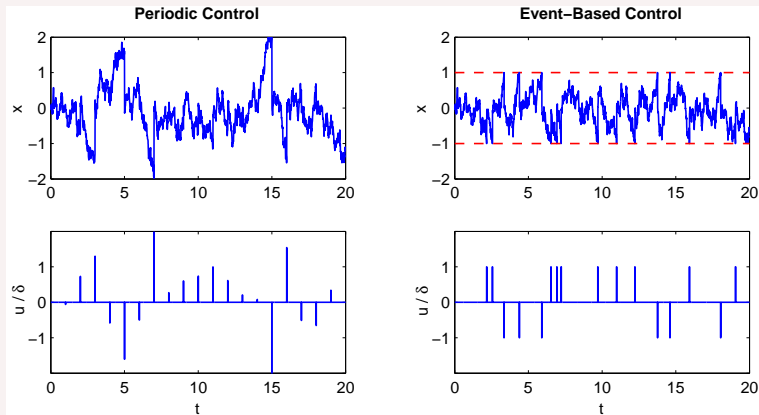
Example: Impulse Control of a Wiener Process



Periodic sampling:

$$E x^2 = \frac{1}{2}h$$

Example: Impulse Control of a Wiener Process



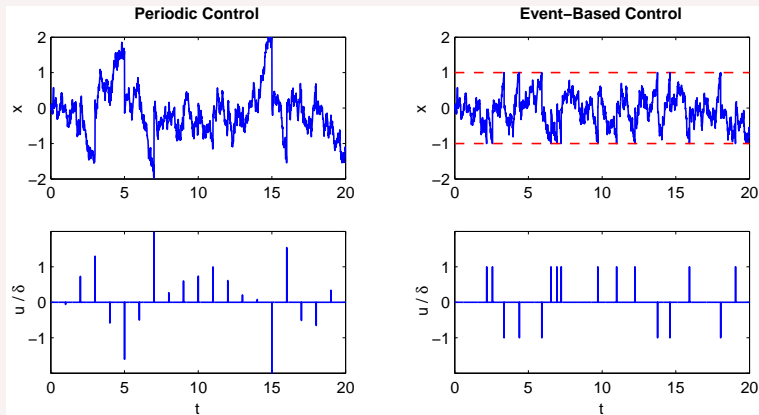
Periodic sampling:

$$E x^2 = \frac{1}{2}h$$

Send-on-Delta:

$$E x^2 = \frac{1}{6}\bar{h}$$

Example: Impulse Control of a Wiener Process



Periodic sampling:

$$E x^2 = \frac{1}{2}h$$

Send-on-Delta:

$$E x^2 = \frac{1}{6}\bar{h}$$

Stochastic Send-on-Delta:

$$E x^2 \approx 0.291\bar{h}$$

Event-Based Control

Two separate lines of research:

- 1 Optimal estimation and control of stochastic systems
 - Karl Johan Åström & Bo Bernhardsson (1999)
 - Toivo Henningsson (2012)
 - ...
- 2 Heuristic event-based PID control
 - Karl-Erik Årzén (1999)
 - Sebastian Dormido *et al.* (2012)
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Can we somehow compare these apples and oranges?

- Can we use PID control as a benchmark for event-based estimation and control?
- Can we evaluate heuristic event-based PID controllers using metrics from stochastic control?

Benchmark Design

We would like to have a benchmark were we can compare

- continuous control
- sampled-data control
- event-based control (various versions)

with respect to

- performance
 - disturbance rejection
 - control effort
 - number of sensor and control events
- design complexity
- implementation complexity and computational effort

Benchmark Design

It would be nice to have

- known, optimal solutions for continuous and sampled-data control
- the possibility to evaluate the performance analytically or using Monte Carlo simulations

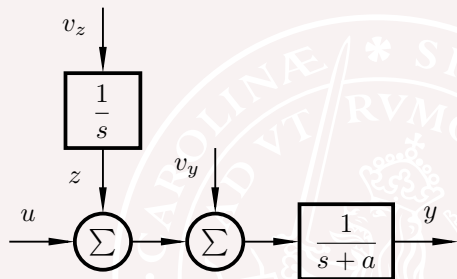
Benchmark Design

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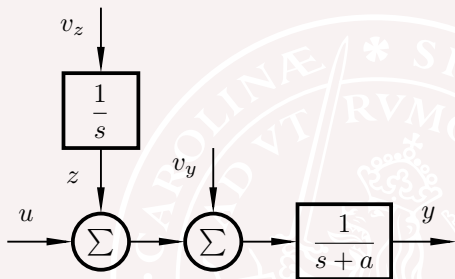
LQG-Optimal PI(D) Control

LQG-Optimal PI Control



v_z, v_y white noise proc.
with intensities r and 1

LQG-Optimal PI Control

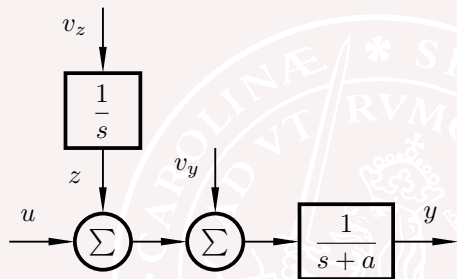


v_z, v_y white noise proc.
with intensities r and 1

Cost function:

$$J = E \left\{ qy^2 + (u+z)^2 \right\}$$

LQG-Optimal PI Control



v_z, v_y white noise proc.
with intensities r and 1

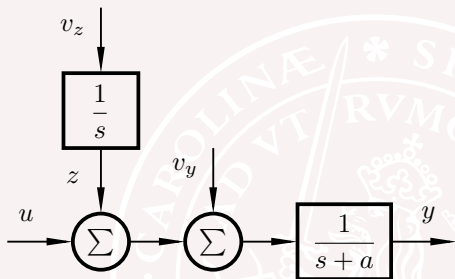
Cost function:

$$J = E \left\{ qy^2 + (u+z)^2 \right\}$$

State feedback: $u = -ly - \hat{z}$

$$l = a + \sqrt{a^2 + q}$$

LQG-Optimal PI Control



v_z, v_y white noise proc.
with intensities r and 1

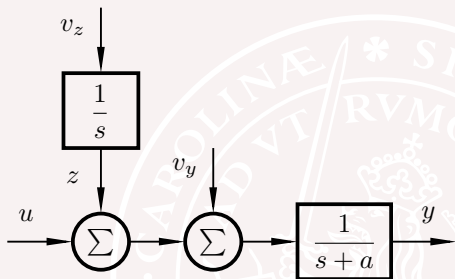
Cost function:

$$J = E \left\{ qy^2 + (u+z)^2 \right\}$$

State feedback: $u = -ly - \hat{z}$ $l = a + \sqrt{a^2 + q}$

Kalman filter: $\dot{\hat{z}} = k(\dot{y} + ay - \hat{z} - u)$ $k = \sqrt{r}$

LQG-Optimal PI Control



v_z, v_y white noise proc.
with intensities r and 1

Cost function:

$$J = \mathbb{E} \left\{ qy^2 + (u+z)^2 \right\}$$

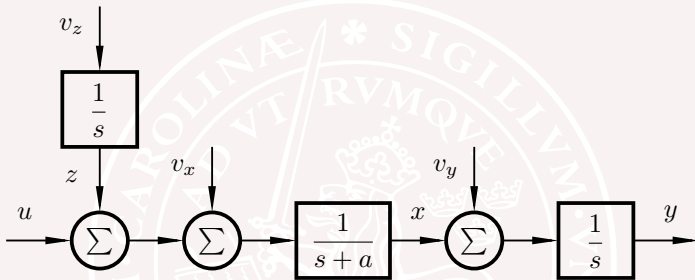
State feedback: $u = -ly - \hat{z}$ $l = a + \sqrt{a^2 + q}$

Kalman filter: $\dot{\hat{z}} = k(\dot{y} + ay - \hat{z} - u)$ $k = \sqrt{r}$

Controller: $U(s) = -\frac{(l+k)s + k(l+a)}{s} Y(s)$ (PI)

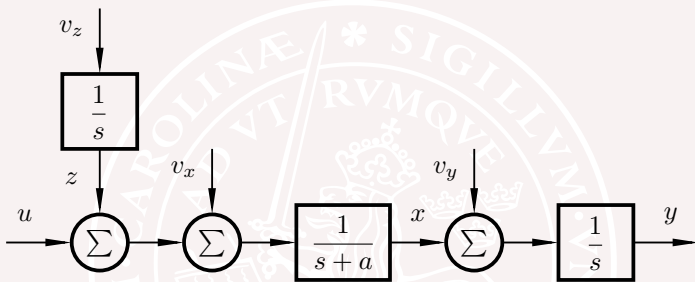
$$(q, r) \Leftrightarrow (K, T_i)$$

LQG-Optimal PID Control



v_z, v_x, v_y white noise processes with intensities r_z and r_x and 1.

LQG-Optimal PID Control



v_z, v_x, v_y white noise processes with intensities r_z and r_x and 1.

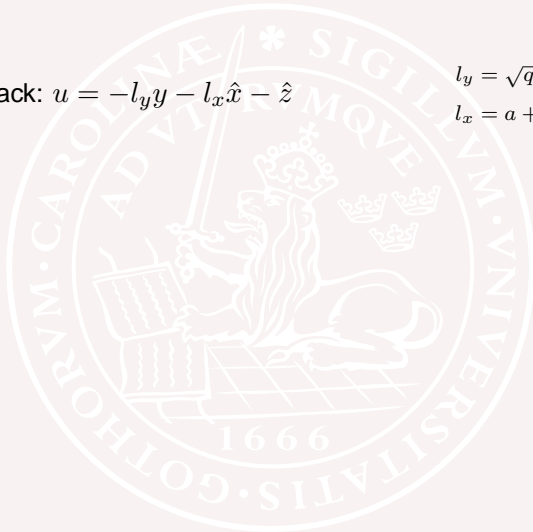
$$\text{Cost function: } J = E \left\{ q_y y^2 + q_x x^2 + (u + z)^2 \right\}$$

LQG-Optimal PID Control

State feedback: $u = -l_y y - l_x \hat{x} - \hat{z}$

$$l_y = \sqrt{q_y}$$

$$l_x = a + \sqrt{a^2 + 2\sqrt{q_y} + q_x}$$



LQG-Optimal PID Control

State feedback: $u = -l_y y - l_x \hat{x} - \hat{z}$

$$l_y = \sqrt{q_y}$$
$$l_x = a + \sqrt{a^2 + 2\sqrt{q_y} + q_x}$$

Kalman filter:

$$\dot{\hat{z}} = k_z (\dot{y} - \hat{z})$$
$$\dot{\hat{x}} = a \hat{x} + \hat{z} + u + k_x (\dot{y} - \hat{z})$$
$$k_z = \sqrt{r_z}$$
$$k_x = \sqrt{2\sqrt{r_z} + r_x}$$

LQG-Optimal PID Control

State feedback: $u = -l_y y - l_x \hat{x} - \hat{z}$

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$$k_z = \sqrt{r_z}$$
$$k_x = \sqrt{2\sqrt{r_z} + r_x}$$

Controller:
$$U(s) = -\frac{(k_z + l_y + k_x l_x)s^2 + (l_y(k_x - a) + k_z(l_x - a))s + k_z l_y}{s^2 + (k_x + l_x - a)s} Y(s)$$

(PID with first-order filter)

$$(q_y, q_x, r_z, r_x) \Leftrightarrow (K, T_i, T_d, N)$$

Benchmark 1 – PI

PI control of an integrator with integral disturbance

Let $r = q = 1 \Rightarrow K = 2, T_i = 2$

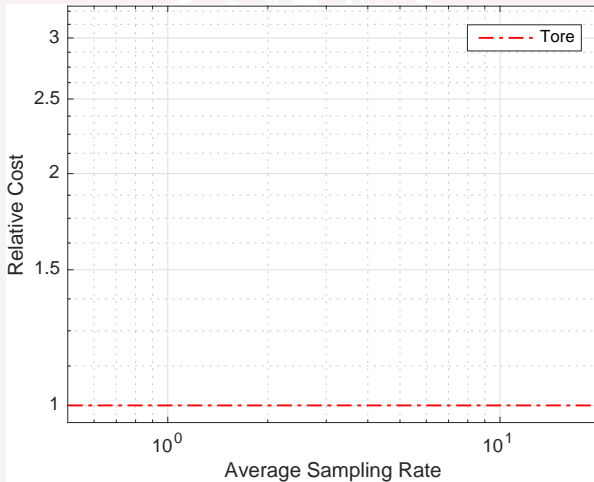
Compare:

- Continuous-time PI control
- (Optimal) sampled-data PI control
- Send-on-Delta + Toivo's Bayesian event-based observer
- Send-on-Delta + Karl-Erik's simple event-based PI controller
- Stochastic Send-on-Delta + time-varying Kalman filter

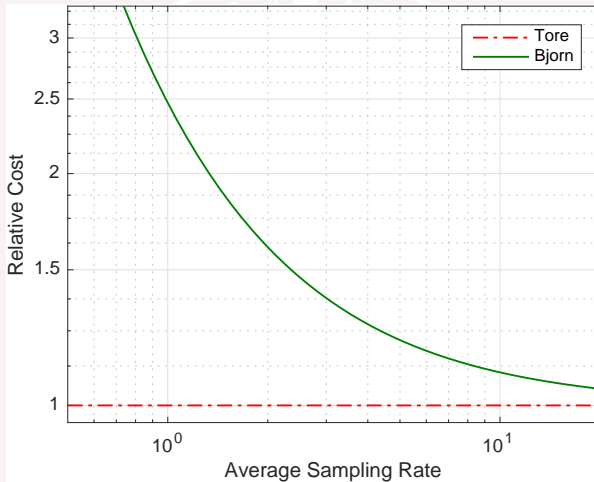
Karl-Erik's Simple Event-Based PID Controller

```
(* Pre-calculated parameter *)
bi := K / Ti;
(* Event detection *)
ysp := ADIn(ch1);
y := ADIn(ch2);
e := ysp - y;
hact := hact + hnom;
IF (abs(e - es) > elim) OR (hact >= hmax) THEN
  es := e;
  ad := Td / (Td + N * hact);
  (* Calculate control signal *)
  up := K * (beta * ysp - y);
  ud := ad * ud - ad * K * N * (y - yold);
  u := up + ui + ud;
  DAOOut(u, ch3);
  (* Update states *)
  ui := ui + bi * hact * (ysp - y);
  yold := y;
  hact := 0.0;
ENDIF;
```

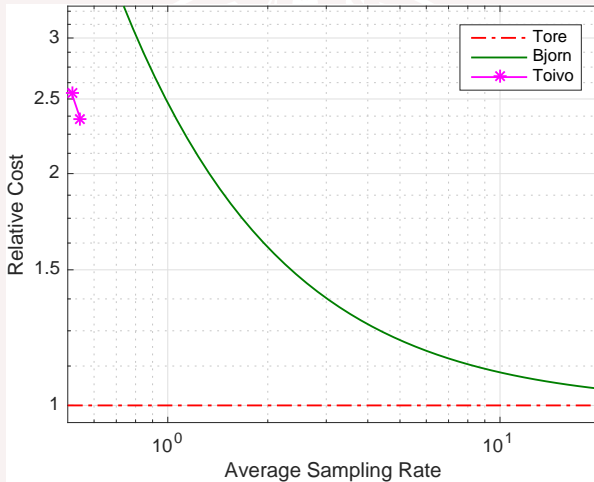

Benchmark 1 – PI – Results



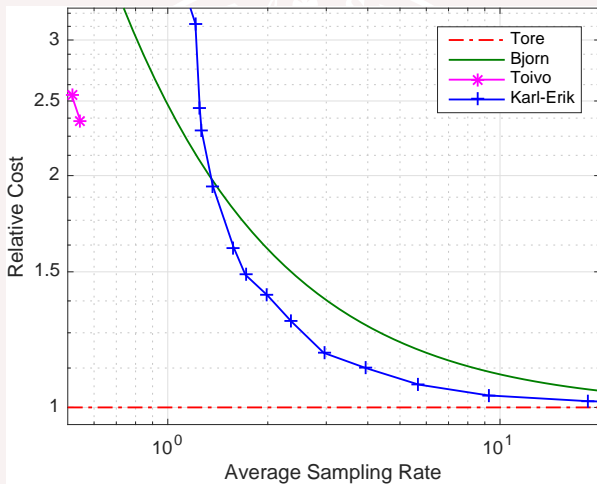
Benchmark 1 – PI – Results



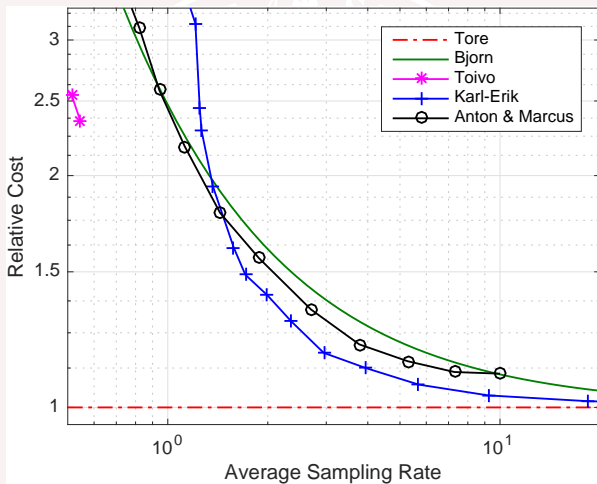
Benchmark 1 – PI – Results



Benchmark 1 – PI – Results



Benchmark 1 – PI – Results



Benchmark Example 2

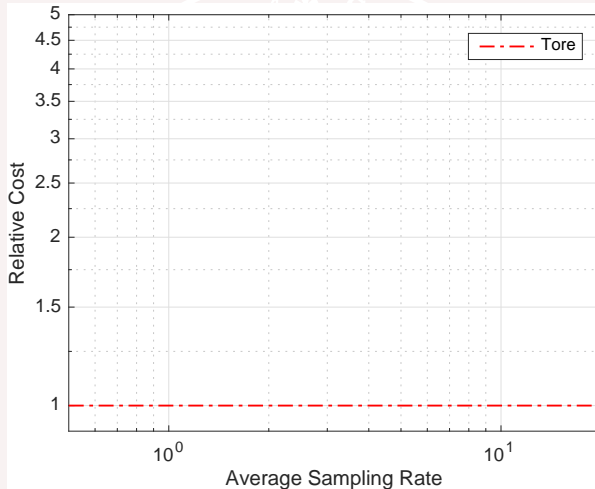
PID control of a double integrator with integral disturbance

$$q_y = q_x = r_z = r_x = 1 \Rightarrow K = 0.92, T_i = 3.2, T_d = 1.3, N = 4.4$$

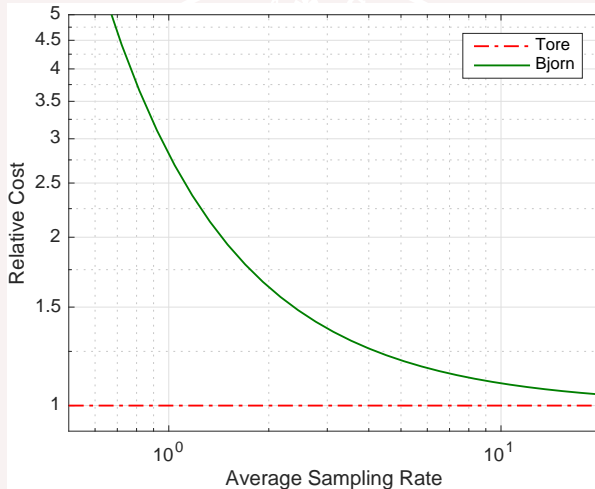
Compare:

- Continuous-time PID control
- (Optimal) sampled-data PID control
- Send-on-Delta + Karl-Erik's simple event-based PID controller
- Stochastic Send-on-Delta + time-varying Kalman filter

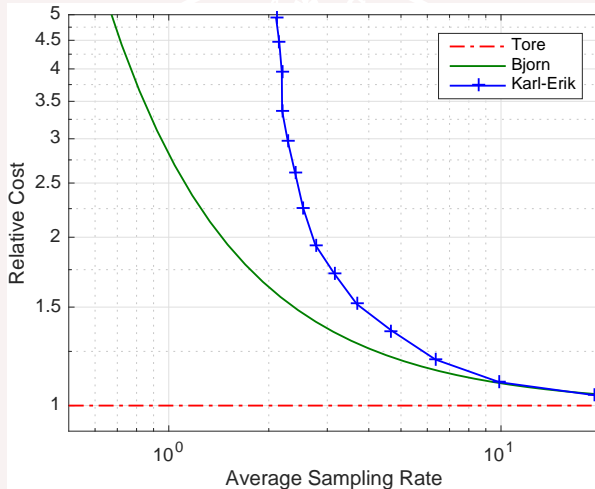
Benchmark 2 (PID) – Results



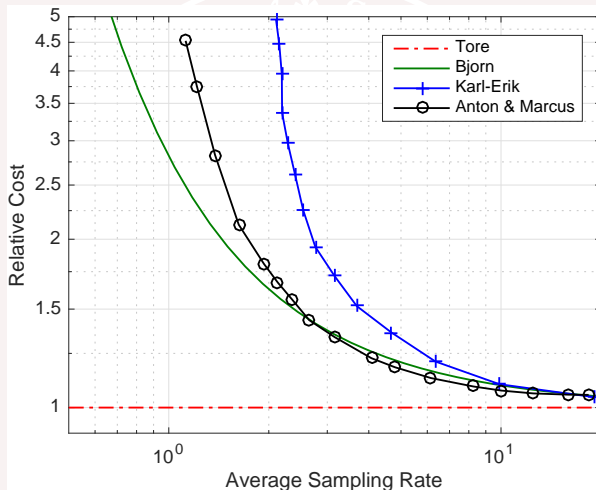
Benchmark 2 (PID) – Results



Benchmark 2 (PID) – Results



Benchmark 2 (PID) – Results



Conclusions and Discussion

- A good state estimation algorithm does not necessarily imply good closed-loop control
 - Design of sensor and control generators
 - Dual control effects
- Constant-intensity white noise models do not favor event-based control
 - Do more suitable stochastic models exist, e.g., intermittent disturbances?
 - Implications for control design?
- Can standard PID metrics be used and can they be evaluated experimentally?
 - $IAE_{\text{load}}, M_s, \dots$